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The Series 7 is an exam sponsored by the Financial Industry Regulatory Authority (FINRA). It measures the qualifications of a person to work in the financial industry as a general securities representative.

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1. Put the time in. While each level of the CFA requires a minimum of 300 hours of study, the recommended prep time for the Series 7 exam assuming you're new to the industry is 80-100 hours.

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Individuals who pass the Series 7 examination are eligible

to register to trade all securities products, including corporate securities, municipal fund securities, options, direct participation programs, investment company products, and variable contracts.

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